

# ISO 9001 / 14001

## crossovers and contrasts

Core process	Similarities	Differences
<b>Planning and risk management</b>	<p>In this section you need to show how you plan to improve. You will need to document:</p> <ul style="list-style-type: none"> <li>• The context of the organisation</li> <li>• Any interested parties</li> <li>• The scope of the management system</li> <li>• IMS objectives and your plans to achieve them</li> </ul>	<p><b>9001:</b> For this Standard, you will also need to identify risks and opportunities relating to the processes you have created as well as quality objectives and your plans to achieve them.</p> <p><b>14001:</b> To achieve the ISO 14001 you will also need to think about environmentally-specific systems and plans of action as well as your environmental objectives and plans to achieve them.</p>
<b>Operations process</b>	<p>You must plan and implement good business practice that measures, analyses and improves your IMS. This will include:</p> <ul style="list-style-type: none"> <li>• Demonstrating conformity to both the product requirements and management system</li> <li>• Continual improvements of the IMS</li> </ul>	<p><b>9001:</b> The quality Standard places greater emphasis on monitoring and measuring processes. It will also require details on production and service provision and the release of products and services.</p> <p><b>14001:</b> For this Standard, you will also need to consider compliance and emergency preparedness and response.</p>
<b>Design and development</b>	<p>This clause is only relevant to the ISO 9001.</p>	<p><b>9001:</b> To achieve this section, you will need to put a procedure in place to identify and correct a product or service that does not meet customer or business requirements (non-conforming products).</p>

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<p><b>Leadership, training and competence</b></p>	<p>In this section you will need to demonstrate all aspects of leadership, training and commitment. This includes:</p> <ul style="list-style-type: none"> <li>• Roles, responsibilities and authorities</li> <li>• Resources</li> <li>• Competence</li> <li>• Awareness</li> <li>• Communication</li> <li>• People</li> </ul>	<p>This clause is fully relevant to both Standards.</p>
<p><b>Maintenance</b></p>	<p>This clause is only relevant to the ISO 9001.</p>	<p><b>9001:</b> To meet this Standard you will need to provide information on the following:</p> <ul style="list-style-type: none"> <li>• Awareness of environmental aspects associated with work</li> <li>• Communication of reliable environmental information and changes to the environmental management system</li> <li>• Any machinery that needs calibration</li> </ul>
<p><b>Purchasing</b></p>	<p>This clause is only relevant to the ISO 9001.</p>	<p><b>9001:</b> In this section you must keep and record evidence relating to the selection and monitoring of suppliers.</p>
<p><b>Monitoring and measurement</b></p>	<p>This clause covers all aspects of monitoring, measurement, analysis and evaluation:</p> <ul style="list-style-type: none"> <li>• What needs to be monitored and measured</li> <li>• The method for monitoring</li> <li>• When monitoring takes place</li> <li>• When the results are to be analysed</li> </ul>	<p>This clause is fully relevant to both Standards.</p>



Core process	Similarities	Differences
<b>Document management</b>	This relates to the control and maintenance of information needed to control the management system.	This clause is fully relevant to both Standards.
<b>IMS management system</b>	You will need to detail and demonstrate the processes that will help you to develop, implement and improve your IMS.	This clause is fully relevant to both Standards.
<b>IMS Policy</b>	You will need to provide evidence that an IMS policy has been created and is now being reviewed and maintained.	This clause is fully relevant to both Standards.
<b>Corrective actions and improvement</b>	This clause requires you to find opportunities for improvement and to work to meet customer requirements.	<b>9001:</b> This Standard also requires you to detail your control mechanisms to prevent poor-quality products and services (non-conforming outputs).
<b>Internal Audit</b>	An internal audit must be conducted for both Standards at regular intervals.	This clause is fully relevant to both Standards.
<b>Management Review</b>	An internal IMS Management Review must be carried out to cover both Standards at regular intervals.	This clause is fully relevant to both Standards.

